

## UNITED STATES DEPARTMENT OF COMMERCE

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APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. 09/534,939 03/24/00 DISTEFANO Т TESSERA 3.3-**EXAMINER** 000530 MMC1/0810 LERNER. DAVID. LITTENBERG. GRAYBILL.D KRUMHOLZ & MENTLIK **ART UNIT** PAPER NUMBER 600 SOUTH AVENUE WEST WESTFIELD NJ 07090 2814 DATE MAILED: 08/10/01

Please find below and/or attached an Office communication concerning this application or proceeding.

**Commissioner of Patents and Trademarks** 

		Application No.	Applicant(s)
•		09/534,939	DISTEFANO ET AL.
	Office Action Summary	Examiner	Art Unit
		David E Graybill	2814
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply			
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).  Status			
1) 🖂	Responsive to communication(s) filed on 16 i	<u> May 2001</u> .	
2a)⊠	//// /// /// /// /// /// /// /// /// /// /// /// /// /// /// ////	nis action is non-final.	
3)	3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.		
Disposition of Claims			
4)⊠ Claim(s) <u>1-25</u> is/are pending in the application.			
4a) Of the above claim(s) is/are withdrawn from consideration.			
5) Claim(s) is/are allowed.			
6)⊠ Claim(s) <u>1-25</u> is/are rejected.			
7) Claim(s) is/are objected to.			
8)	Claim(s) are subject to restriction and/o	or election requirement.	
Application Papers			
9) ☐ The specification is objected to by the Examiner.			
10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.			
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).			
11)☐ The proposed drawing correction filed on is: a)☐ approved b)☐ disapproved by the Examiner.			
If approved, corrected drawings are required in reply to this Office action.			
12)☐ The oath or declaration is objected to by the Examiner.			
Priority under 35 U.S.C. §§ 119 and 120			
13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).			
a) ☐ All b) ☐ Some * c) ☐ None of:			
	1. Certified copies of the priority documents have been received.		
	2. Certified copies of the priority documents have been received in Application No		
<ul> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>			
14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).			
a) ☐ The translation of the foreign language provisional application has been received.  15)☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.			
Attachment(s)			
1)  Noti	ce of References Cited (PTO-892) ice of Draftsperson's Patent Drawing Review (PTO-948) rmation Disclosure Statement(s) (PTO-1449) Paper No(s)	5) Notice of Informa	ary (PTO-413) Paper No(s) al Patent Application (PTO-152)
LLC Detect and	Trademark Office		

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Claims 1-25 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

In claims 1, 6, 7, 15, 16, 19 and 22-25 the term
"frangible" is a vague relative term of degree for which the
disclosure provides no clear standard for measuring the degree,
or it is not apparent if the degree is limited by the
disclosure, and one of ordinary skill in the art, in view of the
prior art and the status of the art, would not otherwise be
reasonably apprised of the scope of the term.

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- (e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

Claims 1, 4-16, and 20-22 are rejected under 35 U.S.C. 102(b) as being anticipated by Angelucci (4380042).

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At column 4, line 13 to column 6, line 41, Angelucci teaches the following:

- 1. A semiconductor chip mounting component comprising:
- (a) a support structure 20, 28 having a top surface, bottom surface, and a gap 26 extending through said support structure between said surfaces;
- (b) a plurality of electrically conductive leads 25, each said lead having a connection section extending across said gap, said connection section having a first end 23 disposed on the support structure on one side of the gap, a second end 35 secured to said support structure on an opposite side of said gap, and a frangible section 36;
- (c) at least one elongated bus 38 disposed alongside said gap, wherein each of said leads extends across said gap and is connected to the bus.
- 4. The component of claim 1, wherein the gap includes at least one elongated slot and wherein each of the leads extends across one of the elongated slots.
- 5. The component of claim 4, wherein at least one of said elongated buses is disposed alongside each of said elongated slots.

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6. The component of claim 1, wherein the frangible sections of at least some of the leads are disposed adjacent the second ends of said leads.

- 7. The component of claim 6, wherein the frangible sections of at least some of the leads are disposed adjacent the first ends of said leads.
- 8. The component of claim 1, further comprising a polymeric reinforcement 20 in contact with each said lead.
- 9. The component of claim 1, wherein the bus is comprised of a metallic material.
- 10. The component of claim 1, wherein the support structure includes a dielectric layer 20.
- 11. The component of claim 10, wherein the dielectric layer is flexible.
- 12. The component of claim 10, wherein the support structure further includes a compliant layer 20.
- 13. The component of claim 11, wherein the support structure includes a said dielectric layer defining a top surface of said support and said compliant layer defining a bottom surface of said support.
- 14. The component of claim 13, wherein the leads are disposed on the dielectric layer.

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15. The component of claim 1, wherein the connection section and the frangible section of each lead are formed integrally with one another and with the associated bus, the connection section of each lead defining a pair of opposed horizontal edges, and the frangible lead section of each lead having a pair of notches extending horizontally inwardly from said opposed edges to define a neck having a width less than the width between said edges.

- 16. The component of claim 15, wherein each said lead has a second end securement section extending between the frangible section and the associated bus.
- 20. The component of claim 1, wherein said support structure comprises a unitary support 26.
- 21. The component of claim 20, wherein said unitary support comprises a layer of dielectric material.
- 22. The component of claim 1, wherein said frangible section is mechanically weaker than said first and second ends of said connection section, whereby said frangible section is disconnectable from one of said first and second ends upon application of a force to said connection section.

Claims 1, 17-21 and 25 are rejected under 35 U.S.C. 102(e) as being anticipated by Hayward (4801999).

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At column 6, lines 6-53; and column 7, lines 23-45, Hayward teaches the following:

- 1. A semiconductor chip mounting component comprising:
- (a) a support structure 122, 160 having a top surface, bottom surface, and a gap extending through said support structure between said surfaces;
- (b) a plurality of electrically conductive leads 128, each said lead having a connection section extending across said gap, said connection section having a first end disposed on the support structure on one side of the gap, a second end secured to said support structure on an opposite side of said gap, and a frangible section (the entire lead);
- (c) at least one elongated bus 122 disposed alongside said gap, wherein each of said leads extends across said gap and is connected to the bus.
- 17. A component as claimed in claim 1, wherein said support structure includes a central portion 160 and a peripheral portion 122, said gap including a plurality of elongated slots extending substantially around said central portion so that the slots are disposed between the central portion and the peripheral portion, the component including a plurality of said elongated buses arranged on said peripheral portion so that one such bus extends alongside each said slot.

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18. The component as claimed in claim 17, wherein said buses are connected to one another so that said buses cooperatively form a structure on said

peripheral portion substantially surrounding said central portion and said slots.

- 19. The component as claimed in claim 18, wherein said slots are connected to one another to form substantially continuous channel surrounding said central portion, said central portion being connected to said peripheral portion only through said leads, whereby said central portion will be detached from said peripheral portion upon breakage of said frangible sections.
- 20. The component of claim 1, wherein said support structure comprises a unitary support 122.
- 21. The component of claim 20, wherein said unitary support comprises a layer of dielectric material.
- 25. The component of claim 1, wherein said first and second ends of said connection section are joined together by said frangible section overlying said gap, at least one of said first and second ends of said connection section is displaceable within said gap relative to said support structure upon severing said frangible section while leaving a remainder of said connection section intact.

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To further clarify the teaching of a frangible section, this is an inherent property of the leads because the leads can be readily or easily broken.

To further clarify the teaching of the limitation, "whereby said central portion will be detached from said peripheral portion upon breakage of said frangible elements," this is an inherent property of the product of Hayward because the central portion is attached to the peripheral portion by the leads having the inherently frangible elements, and the product can be used for the intended use.

To further clarify the teaching that at least one of said first and second ends of said connection section is displaceable within said gap relative to said support structure upon severing said frangible section while leaving a remainder of said connection section intact, such displaceablility is an inherent property of the product of Hayward. Furthermore, this statement of intended use does not result in a structural difference between the claimed product and the product of Hayward. Because the product of Hayward is inherently capable of being used for the intended use, the statement of intended use does not patentably distinguish the claimed product from the product of Hayward. Claims directed to a product must be distinguished from the prior art in terms of structure rather than function.

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In re Danley, 120 USPQ 528, 531 (CCPA 1959). "Apparatus claims cover what a device is, not what a device does." Hewlett-Packard Co. v. Bausch & Lomb Inc., 15 USPQ2d 1525, 1528 (Fed. Cir. 1990).

Claims 1-3, 20, 21 and 25 are rejected under 35 U.S.C. 102(e) as being anticipated by Nelson (5459634).

At column 4, line 64 to column 6, line 7, Nelson teaches the following:

- 1. A semiconductor chip mounting component comprising:
- (a) a support structure 18 having a top surface, bottom surface, and a gap 32 extending through said support structure between said surfaces;
- (b) a plurality of electrically conductive leads 28, each said lead having a connection section extending across said gap, said connection section having a first end disposed on the support structure on one side of the gap, a second end secured to said support structure on an opposite side of said gap, and a frangible section (the entire lead);
- (c) at least one elongated bus 36 disposed alongside said gap, wherein each of said leads extends across said gap and is connected to the bus.
- 2. The component of claim 1, wherein the gap includes a plurality of holes.

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3. The component of claim 2, wherein at least one of the leads extends across each of the holes.

- 20. The component of claim 1, wherein said support structure comprises a unitary support.
- 21. The component of claim 20, wherein said unitary support comprises a layer of dielectric material.
- 25. The component of claim 1, wherein said first and second ends of said connection section are joined together by said frangible section overlying said gap, at least one of said first and second ends of said connection section is displaceable within said gap relative to said support structure upon severing said frangible section while leaving a remainder of said connection section intact.

To further clarify the teaching of a frangible section, this is an inherent property of the leads because the leads can be readily or easily broken.

To further clarify the teaching that at least one of said first and second ends of said connection section is displaceable within said gap relative to said support structure upon severing said frangible section while leaving a remainder of said connection section intact, such displaceablility is an inherent property of the product of Nelson. Furthermore, this statement of intended use does not result in a structural difference

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between the claimed product and the product of Nelson. Because the product of Nelson is inherently capable of being used for the intended use, the statement of intended use does not patentably distinguish the claimed product from the product of Nelson. Claims directed to a product must be distinguished from the prior art in terms of structure rather than function. In re Danley, 120 USPQ 528, 531 (CCPA 1959). "Apparatus claims cover what a device is, not what a device does." Hewlett-Packard Co. v. Bausch & Lomb Inc., 15 USPQ2d 1525, 1528 (Fed. Cir. 1990).

Claims 1 and 20-25 are rejected under 35 U.S.C. 103(a) as being unpatentable over the combination of McCormick (5550406) and Nelson (5459634).

At column 12, line 12 to column 14, line 15, McCormick teaches the following:

- 1. A semiconductor chip mounting component comprising:
- (a) a support structure 320 having a top surface, bottom surface, and a gap 326 extending through said support structure between said surfaces;
- (b) a plurality of electrically conductive leads 312, 314 each said lead having a connection section 312f, 314f extending across said gap, said connection section having a first end 312c, 314c disposed on the support structure on one side 326b of the gap, a second end 312e, 314e secured to said support

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structure on an opposite side 326a of said gap, and a frangible section.

- 20. The component of 1, wherein said support structure comprises a unitary support.
- 21. The component of 20, wherein said unitary support comprises a layer of dielectric material.
- 22. The component of 1, wherein said frangible section is mechanically weaker than said first and second ends of said connection section, whereby said frangible section is disconnectable from one of said first and second ends upon application of a force to said connection section.
- 23. The component of 22, wherein said frangible section is disposed overlying said gap between said first and second ends.
- 24. The component of 1, wherein said frangible section is disposed overlying said gap between said first and second ends.
- 25. The component of 1, wherein said first and second ends of said connection section are joined together by said frangible section overlying said gap, at least one of said first and second ends of said connection section is displaceable within said gap relative to said support structure upon severing said frangible section while leaving a remainder of said connection section intact.

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To further clarify the teaching wherein said frangible section is mechanically weaker than said first and second ends of said connection section, it is noted that it is inherent that immediately before breaking, the frangible section is mechanically weaker than the first and second ends.

However, McCormick does not appear to explicitly teach the following:

(c) at least one elongated bus 38 disposed alongside said gap, wherein each of said leads extends across said gap and is connected to the bus.

Nevertheless, as cited supra, Nelson teaches at least one elongated bus 38 disposed alongside a gap, wherein each of plural leads extends across the gap and is connected to the bus. In addition, it would have been obvious to combine the product of Nelson with the product of McCormick because it would facilitate electrical connection.

Applicant's amendment and remarks filed 5-16-01 are addressed in the rejection supra and are further addressed infra.

Applicant appears to argue that the rejection of the term frangible is improper because, allegedly, the term is "well recognized." This apparent argument is respectfully deemed to be unpersuasive because the term frangible is not rejected as

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not being well recognized; rather, it is rejected because the term, regardless of degree of recognition, is a vague relative term of degree for which the specification provides no clear standard for measuring the degree, and one of ordinary skill in the art in view of the prior art and the status of the art would not otherwise be reasonably apprised of the scope of the term.

Further, applicant asserts that the term frangible is improperly rejected under 35 U.S.C. 112, second paragraph, because it appears in published U.S. patent claims. It is respectfully noted that MPEP 1701 admonishes, "Every patent is presumed to be valid, 35 U.S.C. 282, first sentence. Public policy demands that every employee of the Patent and Trademark Office refuse to express to any person any opinion as to the validity or invalidity of, or the patentability or unpatentability of any claim in any U.S. patent." Further, it is well settled that the allowance of claims in one application has no relevancy in the consideration of the question of patentability of claims in another application; In re Greider et al. 54 USPQ 139 [CCPA 1942]. In re Albert C. Fischer 8 USPQ 481 [1931].

Also, applicant contends that Angelucci and Hayward do not teach a support structure because "element 28 [of Angelucci, and 160 of Hayward] is a semiconductor device and not part of

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Applicants' claimed support structure which is an element of the mounting component and not an element of the semiconductor chip to which the mounting component is attached." This contention is respectfully traversed because, contrary to applicant's assertion otherwise, the scope of the claimed support structure is not limited to being an element of the mounting component and not being an element of the semiconductor chip to which the mounting component is attached.

Also, applicant states that the rejection "specifically acknowledges that Hayward, et al. also does not teach a frangible section as claimed by Applicants." This statement is respectfully traversed because, contrary to applicant's statement otherwise, the rejection does not specifically acknowledge that Hayward does not teach a frangible section as claimed by applicants. Instead, the rejection maintains that Hayward inherently teaches a frangible section.

As further support that it is inherent that each lead section has a frangible section (is easily broken), it is noted that at page 3, lines 3-35, applicant acknowledges that the leads "must be extremely fine structures . . . susceptible to damage and deformation." Moreover, as cited, applicant admits that the prior art reference Angelucci (4380042) teaches that "A frangible section may be provided at the juncture between the

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innermost end of each lead and the inner element." Indeed, at column 5, lines 44-55, Angelucci teaches that a lead is inherently frangible at this juncture even without a reduced cross section.

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any telephone inquiry of a general nature or relating to the status (MPEP 203.08) of this application or proceeding should be directed to the group receptionist whose telephone number is 703-308-1782.

Any telephone inquiry concerning this communication or earlier communications from the examiner should be directed to David E. Graybill at (703) 308-2947. Regular office hours: Monday through Friday, 8:30 a.m. to 6:00 p.m.

The fax phone number for group 2800 is 703/305-3431.

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David E. Graybill Primary Examiner Art Unit 2814

D.G. 8-Aug-01